

6-7-05

AC 19P2)

EXPRESS MAIL LABEL NO.: EV 660685370 US

PATENT APPLICATION Docket No.: 13768.233

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:)
	Shawn Dominic Loveland))
Serial No.:	09/966,685) Art Unit) 2154
Filed:	ed: September 28, 2001	
Confirmation No.:	5018))
For:	VOICE AND CIRCUMSTANCE-DEPENDENT NOTIFICATION))
Examiner:	Wen Tai Lin)

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed foreign references or relevant portion thereof is also enclosed.

Dated this day of Jone, 2005.

Respectfully submitted,

RICK D. NYDEGGER Attorney for Applicant Registration No. 28,651

Customer No. 47973

RDN:kcs KCS0000005861V001 JUN O 6 2005 CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) Docket No. plicant(s): Shawn Dominic Loveland 13768.233 Filing Date Serial No. Confirmation No. Examiner **Group Art Unit** Sept. 28, 2001 09/966,685 5018 Wen Tai Lin Invention: VOIDE AND CIRCUMSTANCE-DEPENDENT NOTIFICATION I hereby certify that the Transmittal letter (2 pages) (in triplicate); Information Disclosure Statement (2

pgs); Form PTO-1449 Listing all Cited References (2 pgs); Legible Copies of One (1) Cited Reference; and postcard are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on ________ 2005.

Kendell Steele

(Typed or Printed Name of Person Mailing Correspondence)

2154

(Signature of Person Mailing Correspondence)

EV 660 685 370 US

("Express Mail" Mailing Label Number)

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VERNON R. RICE § OF COUNSEL



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EXPRESS MAIL LABEL NO.: EV 660685370 US PATENT APPLICATION.

Docket No.: 13768.233

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TRANSMITTAL FOR INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing and pursuant to 37 C.F.R. § 1.97 is an Information Disclosure Statement.

Enclosed also are the following designated documents, as required under 37 C.F.R. §§ 1.97 and 1.98:

- X Form PTO-1449 list of two (2) references submitted for consideration.
- <u>X</u> Legible copies of one of the listed reference or its relevant portions.
- All English translations of each non-English reference, if any, within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) (see 37 C.F.R. § 1.98(c)).

The following are included within the Information Disclosure Statement if applicable and as required under 37 C.F.R. § 1.98:

	Concise explanation of relevance of each reference not in English and unaccompanied by an English translation.
	Statement that certain listed references not enclosed are substantially cumulative of an enclosed reference.
	Statement that certain listed references not enclosed were previously cited by or submitted to the Office in the identified

* Admitted only in California § Admitted only in Virginia

prior application which is relied upon for an earlier filing date under 35 U.S.C. § 120.
Legible Copies of previously filed Information Disclosure Statement for application Serial No, filed
In order to secure consideration of the items designated above, one or more of the following, if required, is also enclosed:
Promptness Certification.
Check No in the amount of \$ constituting submission fee under 37 C.F.R. 1.17(p);
Petition for Consideration and Check No in the amount of \$
X In the event that 37 C.F.R. § 1.97(c) applies and the Examiner is not satisfied that the Promptness Certification meets the requirements of 37 C.F.R. § 1.97(e), or in any other event remediable by a fee, please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.
Dated this Q day of Jove, 2005.
Respectfully submitted, PACK D. NYDEGGER Attorney for Applicant Registration No. 28,651

Customer No. 47973

RDN:kcs KCS0000005862V001 EXPRESS MAIL LABEL NO.: EV 660685370 US

Form PTO-1449

Sheet 1 of 2

Applicant:

Shawn Dominic Loveland

Serial No.:

09/966,685

Att'y Docket No.: 13768.233

Filing Date:

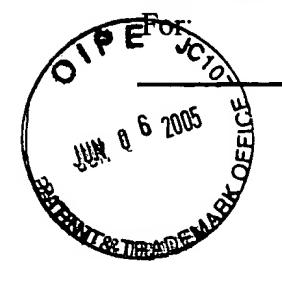
September 28, 2001

Group: 2154

Confirmation No.:

5018

VOICE AND CIRCUMSTANCE-DEPENDENT NOTIFICATION



INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patent Documents

Examiner Initial*	Patent <u>Number</u>	Issue <u>Date</u>	<u>Name</u>	Class	Sub <u>Class</u>	Filing <u>Date</u>				
A1.	6,275,957	08/14/01	Novik, et al.	714	39	10/20/98				
Foreign Patent Documents										
Examiner <u>Initial</u> *	Document Number	Publ. <u>Date</u>	Country or Patent Office	Sub <u>Class</u>	Class	Trans- lation				
A2.	WO 01/69287	09/20/01	PCT	G06F	11/00					

Examiner:

Date Considered:

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Group: 2154

Sheet 2 of 2

VOICE AND CIRCUMSTANCE-DEPENDENT NOTIFICATION

References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

KCS0000005859V001

Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.